

# A Complete Solution to the Cvetković-Rowlinson Conjecture

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## Abstract

In 1990, Cvetković and Rowlinson [The largest eigenvalue of a graph: a survey, *Linear Multilinear Algebra* 28(1-2) (1990), 3–33] conjectured that among all outerplanar graphs on  $n$  vertices,  $K_1 \vee P_{n-1}$  attains the maximum spectral radius. In 2017, Tait and Tobin [Three conjectures in extremal spectral graph theory, *J. Combin. Theory, Ser. B* 126 (2017) 137-161] confirmed the conjecture for sufficiently large values of  $n$ . In this article, we show the conjecture is true for all  $n \geq 2$  except for  $n = 6$ .

**Keywords:** Spectral radius; Planar graphs; Outerplanar graphs; Minor

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There is a long tradition of studying planar graphs. In particular, the study of spectral radius of planar graphs is a fruitful topic in spectral graph theory and can be traced back at least to Schwenk and Wilson [13] who asked “what can be said about the eigenvalues of a planar graph?”. In 1988, Hong [10] proved the first non-trivial result that  $\lambda(\Gamma) \leq \sqrt{5n - 11}$ , where  $\lambda(\Gamma)$  is the spectral radius of a planar graph  $\Gamma$  on  $n \geq 3$  vertices. Hong’s bound was improved to  $4 + \sqrt{3n - 9}$  by Cao and Vince [3], and to  $2\sqrt{2} + \sqrt{3n - \frac{15}{2}}$  by Hong [11] himself, and finally to  $2 + \sqrt{2n - 6}$  by Ellingham and Zha [7]. On the other hand, Boots and Royle [2], and independently, Cao and Vince [3], conjectured that  $P_2 \vee P_{n-2}$  attains the maximum spectral radius among all planar graphs on  $n \geq 9$  vertices. Only recently, Tait and Tobin [16] published a proof of the conjecture for sufficiently large graphs.

A graph  $G$  is outerplanar if it has a planar embedding  $\tilde{G}$  in which all vertices lie on the boundary of its outer face. In fact, earlier than the Boots-Royle-Cao-Vince Conjecture, Cvetković and Rowlinson [6] proposed the following conjecture on outerplanar graphs in 1990. In what follows,  $K_1$  denotes a single vertex,  $P_{n-1}$  denotes the path on  $n - 1$  vertices, and “ $\vee$ ” is the join operation.

**Conjecture 1** (Cvetković, Rowlinson [6]). Among all outerplanar graphs on  $n$  vertices,  $K_1 \vee P_{n-1}$  attains the maximum spectral radius.

Cvetković and Rowlinson [6] considered the above conjecture as study on indices of Hamiltonian graphs. Rowlinson [12] proved Conjecture 1 for outerplanar graphs without internal triangles, where an internal triangle of an outerplanar graph is a 3-cycle which has no edges in common with the unique Hamiltonian cycle of the graph. For upper bounds

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of the spectral radius  $\lambda(G)$  of an outerplanar graph  $G$ , Cao and Vince [3] showed that  $\lambda(G) \leq 1 + \sqrt{2 + \sqrt{2}} + \sqrt{n - 5}$ . This was improved by Shu and Hong [14] to  $\lambda(G) \leq \frac{3}{2} + \sqrt{n - \frac{7}{4}}$ . In 2017, Tait and Tobin [16] confirmed Conjecture 1 for sufficiently large  $n$ .

**Theorem 1** (Tait, Tobin [16]). *The Cvetković-Rowlinson Conjecture is true for all sufficiently large  $n$ .*

Some variant of the Cvetković-Rowlinson Conjecture was considered by Yu, Kang, Liu and Shan [18]. For related topics on spectral properties of planar graphs, we refer to the introduction part of [16] and references therein.

The humble goal of this article is to give a solution to the Cvetković-Rowlinson Conjecture for all  $n$ . The complete proof consists of two parts. We first prove the conjecture for  $n \geq 17$ , and then prove the case that  $2 \leq n \leq 16$  where  $n \neq 6$ , with the aid of a computer. We disprove the conjecture for the case of  $n = 6$ .

**Theorem 2.** *Among all outerplanar graphs on  $n \geq 17$  vertices,  $K_1 \vee P_{n-1}$  attains the maximum spectral radius.*

Before our proof of Theorem 2, let us introduce some necessary notations and terminology. Let  $G$  be a graph with vertex set  $V(G)$  and edge set  $E(G)$  and  $S \subseteq V(G)$ . We denote by  $G[S]$  the subgraph of  $G$  induced by  $S$  and  $G - S$  the subgraph  $G[V(G) \setminus S]$ . For any  $v \in V(G)$ ,  $N_G(v)$  denotes the set of neighbors of  $v$  in  $G$ ,  $d_G(v)$  is defined as  $|N_G(v)|$ , and  $d_S(v) := |N_G(v) \cap S|$ . Let  $A, B \subset V(G)$  be two disjoint sets. We denote by  $N_A(B) := \bigcup_{v \in B} N_A(v)$ , by  $d_A(B) := |N_A(B)|$  and by  $e_G(A, B)$  the number of edges with one end-vertex in  $A$  and the other one in  $B$ . If there is no danger of ambiguity, we use  $e(A, B)$  instead of  $e_G(A, B)$ . Let  $G_1$  and  $G_2$  be two disjoint graphs. The *join* of  $G_1$  and  $G_2$ , denoted by  $G_1 \vee G_2$ , is defined as a graph with vertex set  $V(G_1) \cup V(G_2)$  and edge set  $E(G_1) \cup E(G_2) \cup \{xy : x \in V(G_1), y \in V(G_2)\}$ . Let  $A(G)$  be the adjacency matrix of  $G$  and  $\lambda(G)$  be the spectral radius of  $A(G)$ .

A graph  $H$  is a *minor* of a graph  $G$  if  $H$  can be obtained from  $G$  by a sequence of vertex and edge deletions and edge contractions. A complete characterization of outerplanar graphs states that a graph is outerplanar if and only if it is  $K_{2,3}$ -minor free and  $K_4$ -minor free. It is clear that a subgraph of an outerplanar graph is also outerplanar. An outerplanar graph is *edge-maximal* (or in short, maximal), if no edge can be added to the graph without violating outerplanarity. It is well-known that every outerplanar graph on  $n$  vertices has at most  $2n - 3$  edges if  $n \geq 2$ . These properties will be used frequently in our proof. For some nice article on minors in spectral graph theory, we refer to [15].

Our proof of Theorem 2 also needs a well-known fact and an upper bound of the spectral radius of an outerplanar graph as following:

**Lemma 1** ([1, Exercise 11.2.7]). *Let  $G$  be an edge-maximal outerplanar graph of order  $n \geq 3$ . Then  $G$  has a planar embedding whose outer face is a Hamilton cycle, all other faces being triangles.*

**Lemma 2** (Shu, Hong [14]). *Let  $G$  be a connected outerplanar graph on  $n \geq 3$  vertices. Then  $\lambda(G) \leq \frac{3}{2} + \sqrt{n - \frac{7}{4}}$ .*

Now we present a proof of Theorem 2.

**Proof of Theorem 2.** For any integer  $n \geq 17$ , let  $G_n$  be an outerplanar graph which attains the maximum spectral radius among all outerplanar graphs of order  $n$ , and let  $\lambda := \lambda(G_n)$  be its spectral radius. In the rest, we use  $G$  instead of  $G_n$  for convenience. Obviously,  $G$  is connected and maximal. By the Perron-Frobenius Theorem,  $G$  has the Perron vector such that each component is positive. Let  $X$  be a normalized one such that maximum entry is 1. For any vertex  $v \in V(G)$ , we write  $x_v$  for the eigenvector entry which corresponds to  $v$ . Let  $u \in V(G)$  such that  $x_u = 1$ ,  $A = N_G(u)$  and  $B = V(G) - (\{u\} \cup A)$ .

The first claim gives us a nearly tight lower bound of  $\lambda$ .

**Claim 1.**  $\lambda \geq \sqrt{n} + 1 - \frac{1}{n-\sqrt{n}}$ .

*Proof.* Let  $\Gamma = K_1 \vee C_{n-1}$ , where  $C_{n-1}$  denotes a cycle on  $n-1$  vertices. Suppose that  $Y = (y_1, y_2, \dots, y_n)^t$  is the Perron vector of  $\Gamma$ , where  $y_1$  corresponds to the vertex of degree  $n-1$ . By symmetry,  $y_2 = y_3 = \dots = y_n$ . Then  $\lambda(\Gamma)y_1 = (n-1)y_2$ ,  $\lambda(\Gamma)y_2 = y_1 + 2y_2$  and  $y_1^2 + (n-1)y_2^2 = 1$ . It follows that  $\lambda(\Gamma) = 1 + \sqrt{n}$  and  $y_2^2 = \frac{1}{2(n-\sqrt{n})}$ . Let  $e \in E(C_{n-1})$  and  $\Gamma' = \Gamma - e$ . Then by Rayleigh principle,  $\lambda(\Gamma') \geq Y^t A(\Gamma') Y = Y^t A(\Gamma) Y - 2y_2^2 = \sqrt{n} + 1 - \frac{1}{n-\sqrt{n}}$ . Obviously,  $\Gamma'$  is outerplanar, and  $\lambda(G) \geq \lambda(\Gamma') \geq \sqrt{n} + 1 - \frac{1}{n-\sqrt{n}}$ , as required.  $\square$

As a warm up, we quickly determine the structure of  $G[A]$  approximately.

**Claim 2.**  $G[A]$  is a union of disjoint induced paths or an induced path. (In particular, we also view an isolated vertex in  $G[A]$  as an induced path.)

*Proof.* We first claim that  $G[A]$  contains no vertex of degree at least 3 in  $A$ . If not, then there is a  $K_{2,3}$  in  $G[A \cup \{u\}]$ , a contradiction.

We then claim that there is no cycle in  $G[A]$ . Suppose to the contrary that there is a cycle in  $G[A]$ . Then we can contract the cycle into a triangle, and there is a  $K_4$  in the resulting graph. That is, there is a  $K_4$ -minor in  $G$ , a contradiction.

From the two claims mentioned above, we conclude that  $G[A]$  is the union of some induced paths or an induced paths, in which we view each isolated vertex as an induced path.  $\square$

Let

$$S = \{v : v \in A, d_{G[A]}(v) = 1\}.$$

For two vertices  $x, y \in V(G)$ , we write  $x \sim y$  if  $x$  is adjacent to  $y$ . By Claim 1, we have  $d(u) := d_u \geq \lambda \geq \sqrt{n} + 1 - \frac{1}{n-\sqrt{n}} > 5$ .

We want to show that  $d_u$  is very close to  $n-1$ . As a first step, we must associate  $d_u$  with  $\lambda$  by the following.

**Claim 3.**

$$\lambda^2 \leq d_u + 2\lambda - \frac{2}{\sqrt{n - \frac{7}{4}} + \frac{3}{2}} + \sum_{v \in B} d_A(v)x_v. \quad (1)$$

*Proof.* Note that for any  $v \in S$ , we have  $\lambda x_v > x_u = 1$ . By Lemma 2, we obtain  $x_v > \frac{1}{\lambda} \geq \frac{1}{\frac{3}{2} + \sqrt{n - \frac{7}{4}}}$ . The first equality below was used by Tait and Tobin (see the proof of

Lemma 4 in [16]), which also appeared in several references, see [9] for example:

$$\lambda^2 = \lambda^2 x_u = d_u + \sum_{y \sim u} \sum_{z \in N(y) \cap A} x_z + \sum_{y \sim u} \sum_{z \in N(y) \cap B} x_z = d_u + \sum_{v \in A} d_A(v)x_v + \sum_{v \in B} d_A(v)x_v.$$

If  $G[A]$  consists of isolated vertices, i.e., without any edge, then  $\sum_{v \in A} d_A(v)x_v = 0$ . Thus, we have

$$\lambda^2 = d_u + \sum_{v \in B} d_A(v)x_v.$$

Otherwise,  $G[A]$  contains at least one edge, and it follows  $|S| \geq 2$ . We have

$$\begin{aligned} \lambda^2 &= d_u + \sum_{v \in A} d_A(v)x_v + \sum_{v \in B} d_A(v)x_v \\ &= d_u + \sum_{v \in S} x_v + \sum_{v \in \{v \in A: d_A(v)=2\}} 2x_v + \sum_{v \in B} d_A(v)x_v \\ &\leq d_u + 2\lambda - \sum_{v \in S} x_v + \sum_{v \in B} d_A(v)x_v \\ &\leq d_u + 2\lambda - \frac{2}{\frac{3}{2} + \sqrt{n - \frac{7}{4}}} + \sum_{v \in B} d_A(v)x_v. \end{aligned}$$

Since  $2\lambda - \frac{2}{\frac{3}{2} + \sqrt{n - \frac{7}{4}}} > 0$  for  $n \geq 16$ , we have proved the claim.  $\square$

Our goal of the most of the rest is to show that  $|B| \leq 1$  firstly, and then show  $B = \emptyset$ . We prove this fact by contradiction. Suppose to the contrary that

$$|B| \geq 2. \tag{2}$$

Since  $G$  is outerplanar,  $G[B]$  is also outerplanar, and so  $e(G[B]) \leq 2|B| - 3$  by (2). In the rest, let  $B_1, B_2, \dots, B_t$  be the vertex sets of all components of  $G[B]$ , respectively. The coming claim gives a tight upper bound of the sum of all degrees of vertices of  $B$  in  $G$ , which plays a central role in our proof. Since adding a new edge can increase the value of the spectral radius (recall  $G$  is connected),  $G$  is a maximal outerplanar graph. Therefore, Lemma 1 can be used below.

**Claim 4.** (i) For each  $i \in [1, t]$ ,  $d_A(B_i) = 2$ . (ii) If  $|B_i| \geq 2$ , then  $2e(G[B_i]) + e(A, B_i) \leq 4|B_i| - 3$ . In particular,  $2e(G[B]) + e(A, B) \leq 4|B| - 3$  (recall  $|B| \geq 2$ ).

*Proof.* (i) Since  $G$  is  $K_{2,3}$ -minor free,  $B_i$  has at most 2 neighbors in  $A$  for any  $i \in [1, t]$ . Indeed, if not, we contract all vertices of  $B_i$  into a single vertex, and would find a  $K_{2,3}$  in the resulting graph. Thus,  $d_A(B_i) \leq 2$ . Recall that there is a Hamilton cycle in  $G$ . Thus,  $d_A(B_i) = 2$ . This proves Claim 4 (i).

(ii) By Claim 4 (i), we can assume that  $N_A(B_i) = \{x, x'\}$  for any  $i \in [1, t]$ . By Lemma 1, there is a planar embedding of  $G$ , say  $\tilde{G}$ , such that its outer-face is a Hamilton cycle. Let  $P := xp_1p_2 \dots p_sx'$  be the  $(x, x')$ -path on the Hamilton cycle passing through all vertices in  $B_i$ . That is,  $B_i = \{p_1, \dots, p_s\}$ . In the rest of the proof, when there is no danger of ambiguity, we do not distinguish  $G$  and  $\tilde{G}$ .

Suppose that  $|B_i| \geq 2$ . We first claim that there are no subscripts  $j, k$  such that  $1 \leq j < k \leq s$  and  $xp_k, x'p_j \in E(G)$ . Suppose not. Then we first contract three paths  $p_1 \dots p_j$ ,  $p_k \dots p_s$  and  $xux'$  into vertices  $w_1, w_2$  and an edge  $xx'$ , respectively, and then contract the path  $w_1p_{j+1} \dots p_{k-1}w_2$  into an edge  $w_1w_2$ , resulting in a  $K_4$ . In this way, we can find a  $K_4$ -minor in  $G$ , a contradiction. In the following, set  $l_1 := \max\{q : p_qx \in E(G)\}$  and  $l_2 := \min\{q : p_qx' \in E(G)\}$ . Therefore  $l_1 \leq l_2$ . Also,  $G_1 := G[\{x, p_1, \dots, p_{l_1}\}]$  is outerplanar,

and hence  $e(G_1) \leq 2(l_1 + 1) - 3 = 2l_1 - 1$ . Note that  $G_2 := G[p_{l_1}, \dots, p_{l_2}, x, x'] - xx'$  is outerplanar. Thus, if  $l_2 \geq l_1 + 1$ , then  $e(G_2) \leq e(G[\{p_{l_1}, \dots, p_{l_2}\}]) + 2 \leq 2(l_2 - l_1 + 1) - 3 + 2 = 2(l_2 - l_1) + 1$ ; if  $l_1 = l_2$  then  $e(G_2) = 2$ . Let  $G_3 := G[\{p_{l_2}, \dots, p_s, x'\}]$ . Then  $e(G_3) \leq 2(s - l_2 + 1 + 1) - 3 = 2(s - l_2) + 1$ .

Observe that for any  $i \in [1, l_1]$  and  $j \in [l_2, s]$  such that  $j \geq i + 2$ , we have  $p_i p_j \notin E(G)$ , since otherwise we can find a  $K_4$ -minor in  $G$  similarly as above. Hence  $e(G[B_i \cup \{x, x'\}] - xx') = e(G_1) + e(G_2) + e(G_3) - 2$ , where the term “-2” comes from the fact that the edges  $x p_{l_1}, x' p_{l_2}$  are counting twice when we compute the value of  $e(G_1) + e(G_2) + e(G_3)$ .

If  $l_2 \geq l_1 + 1$ , then  $e(G[B_i \cup \{x, x'\}] - xx') = e(G_1) + e(G_2) + e(G_3) - 2 \leq (2l_1 - 1) + (2(l_2 - l_1) + 1) + (2(s - l_2) + 1) - 2 = 2s - 1$ . Thus,  $2e(G[B_i]) + e(A, B_i) \leq 2e(G[B_i \cup \{x, x'\}] - xx') - e(A, B_i) \leq 2(2s - 1) - 3 = 4s - 5$ , where  $e(A, B_i) \geq 3$  since  $|B_i| \geq 2$  and each face inside  $\tilde{G}[B_i \cup \{x, x'\}]$  is a triangle.

If  $l_2 = l_1$ , then  $e(G_2) = 2$ . In this case,  $e(G[B_i \cup \{x, x'\}] - xx') = e(G_1) + e(G_2) + e(G_3) - 2 \leq (2l_1 - 1) + 2 + (2(s - l_2) + 1) - 2 = 2s$ . Then  $2e(G[B_i]) + e(A, B_i) \leq 2e(G[B_i \cup \{x, x'\}] - xx') - e(A, B_i) \leq 2 \cdot (2s) - 3 = 4s - 3$ , where  $e(A, B_i) \geq 3$  since  $|B_i| \geq 2$ .

Thus, for any  $i \in [1, t]$  with  $|B_i| \geq 2$ , we have  $2e(G[B_i]) + e(A, B_i) \leq 4s - 3$ . If  $|B_i| = 1$  then  $2e(G[B_i]) + e(A, B_i) \leq 2$ . Summing over all indices  $i$ , we have  $e(B, A) + 2e(G[B]) \leq 4|B| - 3$ . This proves Claim 4 (ii).  $\square$

By using Claim 4 (ii), we can estimate the upper bound of  $\sum_{v \in B} d_A(v)x_v$  as follows.

**Claim 5.**

$$\sum_{v \in B} d_A(v)x_v \leq \frac{5n - 5d_u - 7}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}. \quad (3)$$

*Proof.* Recall that  $B_1, B_2, \dots, B_t$  are all components of  $G[B]$ . For any  $i \in [1, t]$ , by Claim 4 (i),  $B_i$  has two neighbors in  $A$ . Since  $G$  contains no  $K_{2,3}$ , there is at most one vertex in  $B_i$  with two neighbors in  $A$ . Set  $x'_i := \max\{x_v : v \in B_i\}$ . Thus, if  $|B_i| \geq 2$  then

$$\begin{aligned} \sum_{v \in B_i} d_A(v)x_v &\leq \sum_{v \in B_i} x_v + x'_i = \frac{1}{\lambda} \left( \sum_{v \in B_i} \lambda x_v + \lambda x'_i \right) \\ &\leq \frac{1}{\lambda} \left( \sum_{v \in B_i} d_G(v) + (|B_i| - 1 + 2) \right) \\ &= \frac{1}{\lambda} (e(A, B_i) + 2e(G[B_i]) + |B_i| + 1) \\ &= \frac{1}{\lambda} (5|B_i| - 2). \end{aligned}$$

If  $|B_i| = 1$  then  $\sum_{v \in B_i} d_A(v)x_v \leq \frac{2}{\lambda} \sum_{w \in N_A(B_i)} x_w \leq \frac{4}{\lambda} = \frac{1}{\lambda} (5|B_i| - 1)$ . Observe that if  $|B_i| = 1$  for every  $i$ , then  $t \geq 2$  since  $|B| \geq 2$ . Summing over all  $i \in [1, t]$ , we have

$$\sum_{v \in B} d_A(v)x_v \leq \frac{5|B| - 2}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}} = \frac{5n - 5d_u - 7}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}.$$

This proves the claim.  $\square$

In what follows, we aim to show that

$$\left(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}\right) d_u > \max \left\{ (n-1) \cdot \left(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}\right), 0 \right\}. \quad (4)$$

holds for  $n \geq 17$ . This finally results in  $d(u) > n - 1$ , and implies that  $|B| \geq 2$  does not hold.

By (1), (2) and (3), we infer

$$\begin{aligned} & \left(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}\right) d_u \\ & \geq \lambda^2 - 2\lambda + \frac{2}{\frac{3}{2} + \sqrt{n - \frac{7}{4}}} - \frac{5n - 7}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}} \\ & \geq n - 1 - \frac{2}{\sqrt{n} - 1} + \frac{1}{n(\sqrt{n} - 1)^2} + \frac{2}{\frac{3}{2} + \sqrt{n - \frac{7}{4}}} - \frac{5n - 7}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}} \\ & > (n - 1) \cdot \left(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}\right) - \frac{2}{\sqrt{n} - 1} + \frac{2}{\frac{3}{2} + \sqrt{n - \frac{7}{4}}} + \frac{2}{\sqrt{n} + 1} \\ & > (n - 1) \cdot \left(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}\right) + \frac{2\sqrt{n - \frac{7}{4}} - 7}{n - 1} \\ & \geq (n - 1) \cdot \left(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}\right) > 0 \end{aligned}$$

for  $n \geq 17$ . (Note that  $(1 - \frac{5}{\sqrt{n} + 1 - \frac{1}{n - \sqrt{n}}}) < 0$  when  $n = 16$ .)

Therefore, we have  $|B| \leq 1$ . Suppose that  $|B| = 1$ . At this point, we can know more information on  $G[A]$  than Claim 2.

**Claim 6.**  $G[A]$  is an induced path.

*Proof.* By Claim 2,  $G[A]$  is a union of disjoint induced paths or an induced path. Since  $G$  is a maximal outerplanar graph, by Lemma 1,  $G$  has a planar embedding, say  $\tilde{G}$ , whose outer face is a Hamilton cycle, all other faces being triangles. If  $G[A]$  is not an induced path, then the fact  $|B| = 1$  implies there is an inner face in  $\tilde{G}$  which is not a triangle, a contradiction. This proves the claim.  $\square$

Finally, we show that, indeed,  $B$  is an empty set.

**Claim 7.**  $B = \emptyset$ .

*Proof.* Suppose that  $|B| = 1$ . Let  $B = \{v\}$ . Since  $G$  is  $K_{2,3}$ -free, we have  $d(v) = 2$ . Set  $N(v) = \{v_i, v_j\}$ . Recall that  $G[A]$  is an induced path. Let  $G[A] = v_1 v_2 \dots v_{n-2}$ . If  $|i - j| \neq 1$ , then  $G$  contains a  $K_{2,3}$ -minor, a contradiction. Thus,  $|i - j| = 1$ . Without loss of generality, set  $j = i + 1$ . Since  $d_u > 5$ , at least one vertex of  $\{v_i, v_{i+1}\}$  has degree two in  $G[A]$ . Moreover,  $vv_i, vv_{i+1} \in E(G)$ . Let  $X = (x_u, x_1, \dots, x_{n-2}, x_v)^t$  be the eigenvector corresponding to  $\lambda(G)$ , where  $x_u = 1$ ,  $x_v$  corresponds to  $v$ , and  $x_k$  corresponds to  $v_k$  for  $k = 1, \dots, n-2$ . Set  $x_s := \max\{x_k : k = 1, \dots, n-2\}$ . By Claim 1,  $\lambda \geq \sqrt{n} + 1 - \frac{1}{n - \sqrt{n}} \geq 5$ .

Then  $\lambda x_v = x_i + x_{i+1} \leq 2x_s$ , which implies that  $x_v < x_s$ . Since  $\lambda x_s \leq x_u + \sum_{v_k \sim v_s} x_k + x_v < 1 + 3x_s$ , it follows that  $x_s < \frac{1}{\lambda-3}$ . Also, since  $\lambda x_1 > x_u$ , we have  $x_1 > \frac{1}{\lambda}$ .

Now let  $G' := G - vv_i - vv_{i+1} + vu + vv_1$ . Note that  $G'$  is also outerplanar and  $\lambda(G') - \lambda(G) \geq 2X^t(A(G') - A(G))X = 2x_v(x_u + x_1 - x_i - x_{i+1}) > 2x_v(1 + \frac{1}{\lambda} - \frac{2}{\lambda-3})$ . By simple algebra,  $1 + \frac{1}{\lambda} - \frac{2}{\lambda-3} = \frac{\lambda^2 - 4\lambda - 3}{\lambda(\lambda-3)}$ . In order to prove the inequality  $\frac{\lambda^2 - 4\lambda - 3}{\lambda(\lambda-3)} > 0$ , it suffices to show  $\lambda > 2 + \sqrt{7}$ . By Claim 1,  $\lambda > 2 + \sqrt{7}$  when  $n \geq 16$ . Therefore  $\lambda(G') > \lambda(G)$ , a contradiction. This proves the claim.  $\square$

It follows that  $G = K_1 \vee P_{n-1}$ , completing the proof.  $\blacksquare$

### The case of $2 \leq n \leq 16$ .

Throughout this part, we use the notation NIM-outerplanar graphs instead of non-isomorphic maximal outerplanar graphs.

Let  $G$  be a maximal outerplanar graph with order  $n$  and vertex set  $V(G) = \{v_1, \dots, v_n\}$ . By Lemma 1,  $G$  has a planar embedding, say  $\tilde{G}$ , such that the outer-face is a Hamilton cycle. One can easily find such a Hamilton cycle is unique, since otherwise there is a  $K_4$ -minor in  $G$ . In the following, we do not distinguish a maximal outerplanar graph and its planar embedding when there is no ambiguity. Let  $v_1 \dots v_n v_1$  be the Hamilton cycle mentioned above.

A property of a maximal outerplanar graph on  $n \geq 3$  vertices states that every such graph has a vertex of degree 2 and has a subgraph which is also maximal outerplanar by deleting the vertex. Let  $G'$  be a maximal outerplanar graph obtained from  $G$  by adding a new vertex  $v_{n+1}$ , which is adjacent to some vertex(vertices) of  $G$ . Since  $e(G') = 2(n+1) - 3 = e(G) + 2$ , we have  $d_{G'}(v_{n+1}) = 2$ . Furthermore,  $N_{G'}(v_{n+1}) = \{v_i, v_{i+1}\}$  for some  $i \in [1, n]$ . Let  $S(n)$  denote the number of NIM-outerplanar graphs with order  $n$ . It follows that  $S(n+1) \leq nS(n)$ . In fact, by using matlab, the number of NIM-outerplanar graphs with order at most 13 can be computed as shown in Table 1. In particular,  $S(14) \leq 29666$ ,  $S(15) \leq 415324$  and  $S(16) \leq 6229860$ .

$n$	6	7	8	9	10	11	12	13	14	15	16
$S(n)$	3	4	12	27	82	228	733	2282	$\leq 29666$	$\leq 415324$	$\leq 6229860$

Table 1: The numbers of NIM-outerplanar graphs with order at least 6 and at most 16.

For the case of  $2 \leq n \leq 5$ , one can check by hand calculation. For the case of  $6 \leq n \leq 13$ , the problem can be completely solved by a computer. When  $14 \leq n \leq 16$ , we first develop a program to determine all NIM-outerplanar graphs of order 13, and then compare the spectral radius of each graph in the family of no more than 29666 (415324, 6229860) graphs and of  $K_1 \vee P_{n-1}$ , respectively (see <https://github.com/HuiqiuLin-83/Outerplanar-graph/> for the code). Furthermore, we find out  $\lambda(G_1) = 3.2361 > \lambda(K_1 \vee P_5) = 3.2227$ . In summary, we get the following result (together with Theorem 2).

**Theorem 3.** *Among all outerplanar graphs on  $n$  vertices,  $K_1 \vee P_{n-1}$  attains the maximum spectral radius, with the only exceptional case of  $n = 6$ , in which  $G_1$  attains the maximum spectral radius. (see Figure 1).*

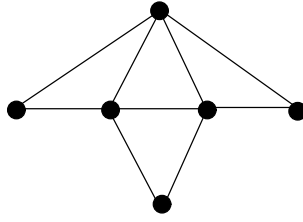


Figure 1: The graph  $G_1$ .

## Concluding remarks

We would like to compare our proof with the methods applied by Tait and Tobin in [16]. Generality speaking, the Tait-Tobin Method is somewhat motivated by Regularity Lemma. For any  $\varepsilon > 0$ , they partitioned the vertex set  $V(G)$  into two parts  $V_L = \{v \in V(G) : x_v > \varepsilon\}$  and  $V_S = V(G) \setminus V_L$ . “This enables them to determine the structure on large (linear sized) pieces of the graph to understand approximately what the extremal graph looks like.”<sup>1</sup> Our proof heavily relies on the complete characterization of outerplanar graphs, and the concept of “minor” plays an important role in our proof. More importantly for us, we need a Hamilton cycle in a maximal outerplanar graph to label the vertices in order. We need much more details (see Claims 4 and 5) to control the bound of eigenvector entries, besides using Shu-Hong’s inequality.

On the other hand, the Tait-Tobin Method seems to be powerful for many problems (on large graphs) in spectral graph theory. Till now, it has been successfully used to make progress on Cvetković-Rowlinson Conjecture [6], Boots-Royle-Cao-Vince Conjecture [2, 3], and Cioabă-Gregory Conjecture [5], etc. We would like to refer to the very recent spectral version [4] of extremal numbers of friendship graphs [8].

In closing, we shall mention the following conjecture again, which is still open for small  $n$ . (For example, let us confirm this conjecture for all  $n \geq 100$ .) We note that Tait and Tobin [16] verified it for sufficiently large  $n$ .

**Conjecture 2** (Boots-Royle [2], and independently by Cao-Vince [3]). The planar graph on  $n \geq 9$  vertices of maximum spectral radius is  $P_2 \vee P_{n-2}$ .

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<sup>1</sup>This sentence is essentially borrowed from an email from M. Tait to the authors, who explained the ideas on the Tait-Tobin Method to them.



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